



PUBLIC INFORMATION

INTERNAL AUDIT DEPARTMENT



**First Follow-Up Information Technology Audit:
John Wayne Airport
Selected IT General Controls**

As of April 30, 2022

**Audit No. 2059-A (Reference 1941-F1)
Report Date: May 26, 2022**

Recommendation Status

4

Implemented

5

In Process

0

Not Implemented

0

Closed

OC Board of Supervisors

CHAIRMAN DOUG CHAFFEE
4th DISTRICT

VICE CHAIRMAN DONALD P. WAGNER
3rd DISTRICT

SUPERVISOR ANDREW DO
1st DISTRICT

SUPERVISOR KATRINA FOLEY
2nd DISTRICT

SUPERVISOR LISA A. BARTLETT
5th DISTRICT



INTERNAL AUDIT DEPARTMENT

Audit No. 2059-A
(Reference 1941-F1)

May 26, 2022

To: Richard Francis
Interim John Wayne Airport Director

From: Aggie Alonso, CPA, CIA, CRMA
Internal Audit Department Director

Subject: First Follow-Up Information Technology Audit: John Wayne Airport Selected IT
General Controls

We have completed a first follow-up audit of John Wayne Airport (JWA) selected information technology general controls as of April 30, 2022, original Audit No. 1941, dated December 17, 2020. Due to the sensitive nature of specific findings (restricted information), results are redacted from public release. Additional information including background and our scope is included in Appendix A.

Our first follow-up audit concluded JWA implemented four (4) recommendations and is in process of implementing the five (5) remaining recommendations. A second follow-up audit will be performed in approximately six months and a follow-up audit report form is attached to facilitate that audit. Any recommendations not implemented or in process at that time will be brought to the attention of the Audit Oversight Committee at its next scheduled meeting.

We appreciate the assistance extended to us by JWA personnel during our follow-up audit. If you have any questions, please contact me at 714.834.5442 or Assistant Director Scott Suzuki at 714.834.5509.

Attachments

Other recipients of this report:

- Members, Board of Supervisors
- Members, Audit Oversight Committee
- CEO Distribution
- JWA Distribution
- Foreperson, Grand Jury
- Robin Stieler, Clerk of the Board
- Eide Bailly LLP, County External Auditor

INTERNAL AUDIT DEPARTMENT

RESULTS

Content in Results has been removed from this report due to the sensitive nature of the specific findings.

AUDIT TEAM	Scott Suzuki, CPA, CIA, CISA, CFE Jimmy Nguyen, CISA, CFE, CEH Scott Kim, CPA, CISA, CFE Stephany Pantigoso Mari Elias, DPA	Assistant Director IT Audit Manager II IT Audit Manager I Senior Auditor Administrative Services Manager
-------------------	---	--



INTERNAL AUDIT DEPARTMENT

APPENDIX A: ADDITIONAL INFORMATION

SCOPE	Our follow-up audit was limited to reviewing actions taken by John Wayne Airport as of April 30, 2022, to implement the nine (9) recommendations from our original Audit No. 1941, dated December 17, 2020.
BACKGROUND	<p>The original audit reviewed selected high-risk information technology general controls over logical access, change management, physical access, and data backup and recovery at JWA for the year ended December 31, 2019.</p> <p>The original audit identified one (1) Critical Control Weakness, two (2) Significant Control Weaknesses, and six (6) Control Findings.</p>



INTERNAL AUDIT DEPARTMENT

APPENDIX B: FOLLOW-UP AUDIT IMPLEMENTATION STATUS

Implemented	In Process	Not Implemented	Closed
<p>The department has implemented our recommendation in all respects as verified by the follow-up audit. No further follow-up is required.</p>	<p>The department is in the process of implementing our recommendation. Additional follow-up may be required.</p>	<p>The department has taken no action to implement our recommendation. Additional follow-up may be required.</p>	<p>Circumstances have changed surrounding our original finding/ recommendation that: (1) make it no longer applicable or (2) the department has implemented and will only implement a portion of our recommendation. No further follow-up is required.</p>

