



PUBLIC INFORMATION

# INTERNAL AUDIT DEPARTMENT



## First & Final Close-Out Follow-Up Information Technology Audit: Health Care Agency Selected Cybersecurity Controls

As of February 28, 2023

Audit No. 2259-A (Reference 1943-F1)  
Report Date: April 4, 2023

### Recommendation Status

8

Implemented

0

In Process

0

Not Implemented

0

Closed

## OC Board of Supervisors

CHAIRMAN DONALD P. WAGNER  
3rd DISTRICT

VICE CHAIRMAN ANDREW DO  
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5th DISTRICT



## INTERNAL AUDIT DEPARTMENT

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Audit No. 2259-A  
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April 4, 2023

To: Clayton Chau, MD, PhD, MASL  
Health Care Agency Director

From: Aggie Alonso, CPA, CIA, CRMA  
Internal Audit Department Director

 Digitally signed by Agripino Alonso  
Date: 2023.04.04 13:18:52 -0700

Subject: First & Final Close-Out Follow-Up Information Technology Audit: Health Care Agency Selected Cybersecurity Controls

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We have completed a follow-up information technology audit of selected cybersecurity controls at Health Care Agency as of February 28, 2023, original Audit No. 1943, dated June 30, 2022. Due to the sensitive nature of specific findings (restricted information), results are redacted from public release. Additional information, including background and our scope, is included in Appendix A.

Our first follow-up audit concluded that Health Care Agency implemented all eight (8) recommendations. Because all eight recommendations were implemented, this report represents the final close-out of the original audit.

We appreciate the assistance extended to us by Health Care Agency personnel during our follow-up audit. If you have any questions, please contact me at (714) 834-5442 or IT Audit Manager II Jimmy Nguyen at (714) 834-2526.

### Attachments

#### Other recipients of this report:

- Members, Board of Supervisors
- Members, Audit Oversight Committee
- County Executive Officer Distribution
- Health Care Agency Distribution
- Foreperson, Grand Jury
- Robin Stieler, Clerk of the Board
- Eide Bailly, LLP, County External Auditor

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## RESULTS

Content has been removed from Results due to sensitive nature of the specific findings.

<b>AUDIT TEAM</b>	Jimmy Nguyen, CISA, CFE, CEH Stephany Franco Mari Elias, DPA	IT Audit Manager II Senior Auditor Administrative Services Manager
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# INTERNAL AUDIT DEPARTMENT

## APPENDIX A: ADDITIONAL INFORMATION

<b>SCOPE</b>	Our first follow-up audit was limited to reviewing actions taken by Health Care Agency as of February 28, 2023 to implement the eight (8) recommendations from our original Audit No. 1943, dated June 30, 2022.
<b>BACKGROUND</b>	The original audit reviewed selected high-risk cybersecurity controls at Health Care Agency. The original audit identified five (5) Significant Control Weaknesses and three (3) Control Findings.



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**APPENDIX B: FOLLOW-UP AUDIT IMPLEMENTATION STATUS**

Implemented	In Process	Not Implemented	Closed
<p>The department has implemented our recommendation in all respects as verified by the follow-up audit. No further follow-up is required.</p>	<p>The department is in the process of implementing our recommendation. Additional follow-up may be required.</p>	<p>The department has taken no action to implement our recommendation. Additional follow-up may be required.</p>	<p>Circumstances have changed surrounding our original finding/ recommendation that: (1) make it no longer applicable or (2) the department has implemented and will only implement a portion of our recommendation. No further follow-up is required.</p>

